

Appendix II

Application for Transfer of QS/IPQ
 Restricted Access Management (RAM) Division
 Alaska Region, National Marine Fisheries Service
 P.O. Box 21868, Juneau, AK 99802-1668

Part III(a) - Additional Information (Transferor)

THE FOLLOWING QUESTIONS MUST BE ANSWERED BY THE PROPOSED TRANSFEROR

Are you using a broker to transfer this QS/IPQ (check one)? Yes No

If your answer is "yes," please name the broker: _____

How much are you paying in broker's fees? \$ _____ (____ % of price)

What is the net price you are receiving for the QS/IPQ? \$ _____ /unit of QS
 \$ _____ /pound of IPQ

How is the purchase price being paid to you (circle one): Lump Sum Installment Plan

What is your reason for transferring the QS and/or the IPQ (check all that apply)?

Retirement from the Fishery	<input type="checkbox"/>	Pursue non-fishing Activities	<input type="checkbox"/>
Health Problems	<input type="checkbox"/>	Entering other fishery(ies)	<input type="checkbox"/>
Other (explain)	_____		

Part III(b) - Additional Information (Transferee)

THE FOLLOWING QUESTIONS MUST BE ANSWERED BY THE PROPOSED TRANSFEEE

How did you locate this Quota Share or Individual Fishing Quota (check all that apply)?

Relative/personal friend	<input type="checkbox"/>	Advertisement/Public Notice	<input type="checkbox"/>
Casual Acquaintance	<input type="checkbox"/>	Broker	<input type="checkbox"/>
Other Source (explain):	_____		

What is your relationship to the QS/IPQ Holder (check one)?

No Relationship	<input type="checkbox"/>	Personal Family Member	<input type="checkbox"/>
Business Partner	<input type="checkbox"/>	Other Friend or Relative	<input type="checkbox"/>

If this is a purchase of QS or IPQ, is the QS or IPQ being used as Collateral? Yes No

If yes, what entity or entities hold(s) a lien against the QS or IPQ? _____

(NMFS/RAM will neither record nor enforce the terms of liens or levies against QS and IPQ)

If this is a purchase of QS or IPQ, how are you financing the purchase (check one)?

Personal Resources (cash)	<input type="checkbox"/>	Private Bank/Credit Union	<input type="checkbox"/>
Alaska Dep't of Commerce	<input type="checkbox"/>	Al Com. Fish & Ag. Bank	<input type="checkbox"/>
Transferor (Seller)	<input type="checkbox"/>	Processor/Fishing Company	<input type="checkbox"/>
Other Source(explain):	_____		

Do you have any agreement to return the QS or IPQ to the Transferor or to otherwise dispose of (sell, give away, lease, etc.) the QS or IPQ to another person? Yes No

If "Yes," please explain: _____

Public reporting burden for this collection of information is estimated to average 3 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Restricted Access Management Division, Alaska Region, NMFS, Box 21868, Juneau, AK 99802-1668 and to the Office of Management and Budget, Paperwork Reduction Project, Washington, D.C. 20503. OMB No. 0648-0272 Expires 06/06, Rev. 11/2004

Figure 3
 QS Transfer Application Form - 1995

**REQUIRED SUPPLEMENTAL INFORMATION
THESE BLOCKS MUST BE FILLED OUT TO CONTINUE THE PROCESSING OF YOUR APPLICATION**

BLOCK H - TO BE COMPLETED BY THE TRANSFEROR		
1. Is there a broker being used for this transaction? <input type="checkbox"/> Yes <input type="checkbox"/> No		
If yes, how much is being paid in brokerage fees? \$ _____ of _____ % of total price.		
2. Will the QS/IFQ being purchased be used as collateral? <input type="checkbox"/> Yes <input type="checkbox"/> No		
If yes, what entity holds the Security Interest? _____		
3. What is the total amount being paid for the QS/IFQ in this transaction, including all fees? \$ _____		
Please give price per unit of QS & price per pound of IFQ.		
\$ _____ /Unit of QS (Price divided by QS Units)	and/or \$ _____ / # of IFQ (Price divided by IFQ pounds)	
4. What are the reasons for transferring QS/IFQ? (check all that apply)		
Retirement from Fisheries <input type="checkbox"/>	Shares too small to fish <input type="checkbox"/>	Consolidation of shares <input type="checkbox"/>
Pursue non-fishing activities <input type="checkbox"/>	Trading Shares <input type="checkbox"/>	Other (explain) <input type="checkbox"/>
Health Problems <input type="checkbox"/>	Enter other Fisheries <input type="checkbox"/>	

BLOCK I - TO BE COMPLETED BY THE TRANSFEREE		
1. What is the primary source of financing for this transfer (check one)?		
Personal Resources (cash) <input type="checkbox"/>	AK Com. Fish & Ag. Bank <input type="checkbox"/>	Received as a Gift <input type="checkbox"/>
Private Bank/Credit Union <input type="checkbox"/>	Transferor (Seller) <input type="checkbox"/>	Other (explain) <input type="checkbox"/>
Alaska Dept. of Commerce <input type="checkbox"/>	Processor/Fishing Company <input type="checkbox"/>	
2. How was the QS/IFQ located (check all that apply)?		
Relative/Personal Friend <input type="checkbox"/>	Casual Acquaintance <input type="checkbox"/>	Other (explain) <input type="checkbox"/>
Advertisement/Public Notice <input type="checkbox"/>	Broker <input type="checkbox"/>	
3. What is the Buyer's relationship to the QS/IFQ Holder (check all that apply)?		
No Relationship <input type="checkbox"/>	Business Partner <input type="checkbox"/>	Other (explain) <input type="checkbox"/>
Family Member <input type="checkbox"/>	Friend <input type="checkbox"/>	
4. Is there an agreement to return the QS or IFQ to the Transferor (seller), or any other person? <input type="checkbox"/> Yes <input type="checkbox"/> No		
If yes, please explain:		

**Figure 5
QS Transfer Application Form - 1997**

REQUIRED SUPPLEMENTAL INFORMATION
YOUR APPLICATION WILL NOT BE PROCESSED UNLESS YOU PROVIDE THE FOLLOWING INFORMATION

BLOCK H - TO BE COMPLETED BY THE TRANSFEROR

1. Give the price per pound (including leases) \$ _____ / # IFQ (Price divided by IFQ pounds) Including fees
 Give the price per unit of QS \$ _____ / Unit of QS (Price divided by QS Units)

2. What is the **total amount** being paid for the QS/IFQ in this transaction, including all fees? _____

3. What are your reasons for transferring the QS/IFQ? (check all that apply)

Retirement from Fisheries Shares Too Small to Fish Consolidation of Shares
 Pursue Non-Fishing Activities Trading Shares Enter other Fisheries
 Health Problems Other (explain): _____

4. Is there a broker being used for this transaction? Yes No

If yes, how much is being paid in brokerage fees? \$ _____ or _____ % of total price.

BLOCK I - TO BE COMPLETED BY THE TRANSFEREE

1. Will the QS/IFQ being purchased have a lien attached? Yes No

If yes, name of lien holder: _____

2. What is the primary source of financing for this transfer (*check one*)? Received as a Gift

Personal Resources (cash) AK Com. Fish & Ag. Bank NMFS Loan Program
 Private Bank/Credit Union Transferor/Seller Processor/Fishing Company
 Alaska Dept. Of Commerce Other (explain): _____

3. How was the QS/IFQ located (*check all that apply*)?

Relative Advertisement / Public Notice Broker
 Personal Friend Other (explain): _____

4. What is the Buyer's relationship to the QS/IFQ Holder (*check all that apply*)?

Unrelated Family Member Business Partner Friend
 Other (explain): _____

5. Is there an agreement to return the QS or IFQ to the Transferor (seller), or any other person, or a condition placed on resale?

Yes No

If yes, please explain: _____
