Appendix II

Application for Transfer of QS/IFQ
Restricted Access Management (RAM) Division
Alaska Region, National Marine Fisheries Service
P.O. Box 21668, Juneau, AK 99802-1668

Part III(a) - Additional Information (Transferor)

THE FOLLOWING QUESTIONS MUST BE ANSWERED BY THE PROPOSED TRANSFEROR

Are you using a broker to transfer this QS/IFQ (check one)? Yes ___ No ___
If your answer is "yes," please name the broker: _____
How much are you paying in broker's fees? $_____ (___ % of price)
What is the net price you are receiving for the QS/IFQ? $_____ /unit of QS
$_____ /pound of IFQ
How is the purchase price being paid to you (circle one): Lump Sum Installment Plan
What is your reason for transferring the QS and/or the IFQ (check all that apply)?
Retirement from the Fishery ___ Pursue non-fishing Activities ___
Health Problems ___ Entering other fishery(ies) ___
Other (explain) _____

Part III(b) - Additional Information (Transferee)

THE FOLLOWING QUESTIONS MUST BE ANSWERED BY THE PROPOSED TRANSFEREE

How did you locate this Quota Share or Individual Fishing Quota (check all that apply)?
Relative/personal friend ___ Advertisement/Public Notice ___
Casual Acquaintance ___ Broker ___
Other Source (explain): _____
What is your relationship to the QS/IFQ Holder (check one)?
No Relationship ___ Personal Family Member ___
Business Partner ___ Other Friend or Relative ___
If this is a purchase of QS or IFQ, is the QS or IFQ being used as Collateral? Yes ___ No ___
If yes, what entity or entities hold(s) a lien against the QS or IFQ? _____
(NMFS/RAM will neither record nor enforce the terms of liens or levies against QS and IFQ)
If this is a purchase of QS or IFQ, how are you financing the purchase (check one)?
Personal Resources (cash) ___ Private Bank/Credit Union ___
Alaska Dep't of Commerce ___ Ak Com. Fish & Ag. Bank ___
Transferor (Seller) ___ Processor/Fishing Company ___
Other Source(explain): _____
Do you have any agreement to return the QS or IFQ to the Transferor or to otherwise dispose of (sell, give away, lease, etc.) the QS or IFQ to another person? Yes ___ No ___
If "Yes," please explain: _____

Public reporting burden for this collection is estimated to average .5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Restricted Access Management Division, Alaska Region, NMFS, Box 21668, Juneau, AK 99802-1668; and to the Office of Management and Budget, Paperwork Reduction Project, Washington, D.C. 20503. OMB No. 0648-0272; Expires [illegible], Rev. 11/20/94

Figure 3
QS Transfer Application Form - 1995

BLOCK H - REQUIRED SUPPLEMENTAL INFORMATION MUST BE FILLED OUT BY TRANSFEROR (SELLER)

1. What is the total amount you are receiving for this QS/IFQ transaction, including all fees? Please give both amounts in units of QS & number of IFQ pounds (#). $_____/Unit of QS $_____/# of IFQ

If you are not receiving any dollar value for this transaction, please explain in space below.

2. Are you using a broker for this transaction? If "yes go to question 3, if "no" go to question 4. [ ] Yes [ ] No

3. How much are you paying in brokerage fees? $_____, or _____% of price

4. What are your reasons for transferring QS/IFQ (check all that apply)?

Retirement from fisheries	[ ]	Pursue non-fishing activities	[ ]	Health problems	[ ]
Shares too small to fish	[ ]	Trading Shares	[ ]	Enter other fisheries	[ ]
Consolidation of Shares	[ ]	Too far away to fish	[ ]	Other (explain)	[ ]

BLOCK I - REQUIRED SUPPLEMENTAL INFORMATION MUST BE FILLED OUT BY TRANSFEREE (BUYER)

1. Will the QS/IFQ being purchased be used as collateral? [ ] Yes [ ] No

2. If yes, what entity holds the lien?

3. What is your primary source of financing this transfer (check one)?

Personal Resources (cash)	[ ]	Private Bank/Credit Union	[ ]	Alaska Dep't of Commerce	[ ]
AK Com. Fish & Ag. Bank	[ ]	Transferor (Seller)	[ ]	Processor/Fishing Company	[ ]
Received as Gift	[ ]	Other (explain)			

4. How did you locate this QS/IFQ (check all that apply)?

Relative/personal friend	[ ]	Advertisement/Public Notice	[ ]	Casual Acquaintance	[ ]
Broker	[ ]	Other (explain)			

5. What is your relationship to the QS/IFQ Holder (check all that apply)?

No Relationship	[ ]	Family Member	[ ]	Business Partner	[ ]
Friend	[ ]	Other (explain)			

6. Do you have an agreement to return the QS or IFQ to the Transferor (Seller)? [ ] Yes [ ] No

If yes, please explain:

Figure 4
QS Transfer Application Form -1996

REQUIRED SUPPLEMENTAL INFORMATION
THESE BLOCKS MUST BE FILLED OUT TO CONTINUE THE PROCESSING OF YOUR APPLICATION

BLOCK H - TO BE COMPLETED BY THE TRANSFEROR

1. Is there a broker being used for this transaction? [ ] Yes [ ] No

   If yes, how much is being paid in brokerage fees? $_____ or _____% of total price.

2. Will the QS/IFQ being purchased be used as collateral? [ ] Yes [ ] No

   If yes, what entity holds the Security Interest? _____

3. What is the total amount being paid for the QS/IFQ in this transaction, including all fees?
   $_____

   Please give price per unit of QS & price per pound of IFQ.

   $_____/Unit of QS and/or $_____/ # of IFQ
   (Price divided by QS Units) (Price divided by IFQ pounds)

4. What are the reasons for transferring QS/IFQ? (check all that apply)

Retirement from Fisheries	[ ]	Shares too small to fish	[ ]	Consolidation of shares	[ ]
Pursue non-fishing activities	[ ]	Trading Shares	[ ]	Other (explain)	[ ]
Health Problems	[ ]	Enter other Fisheries	[ ]		

BLOCK I - TO BE COMPLETED BY THE TRANSFEREE

1. What is the primary source of financing for this transfer (check one)?

Personal Resources (cash)	[ ]	AK Com. Fish & Ag. Bank	[ ]	Received as a Gift	[ ]
Private Bank/Credit Union	[ ]	Transferor (Seller)	[ ]	Other (explain)	[ ]
Alaska Dept. of Commerce	[ ]	Processor/Fishing Company	[ ]		

2. How was the QS/IFQ located (check all that apply)?

Relative/Personal Friend	[ ]	Casual Acquaintance	[ ]	Other (explain)	[ ]
Advertisement/Public Notice	[ ]	Broker	[ ]		

3. What is the Buyer's relationship to the QS/IFQ Holder (check all that apply)?

No Relationship	[ ]	Business Partner	[ ]	Other (explain)	[ ]
Family Member	[ ]	Friend	[ ]		

4. Is there an agreement to return the QS or IFQ to the Transferor (seller), or any other person? [ ] Yes [ ] No

   If yes, please explain:

Figure 5
QS Transfer Application Form - 1997

REQUIRED SUPPLEMENTAL INFORMATION
YOUR APPLICATION WILL NOT BE PROCESSED UNLESS YOU PROVIDE THE FOLLOWING INFORMATION

BLOCK H - TO BE COMPLETED BY THE TRANSFEROR

1. Give the price per pound (including leases) $ _____ / # IFQ (Price divided by IFQ pounds) Including fees
   Give the price per unit of QS $ _____ / Unit of QS (Price divided by QS Units)

2. What is the **total amount** being paid for the QS/IFQ in this transaction, including all fees? _____

3. What are your reasons for transferring the QS/IFQ? (check all that apply)
   [ ] Retirement from Fisheries [ ] Shares Too Small to Fish [ ] Consolidation of Shares
   [ ] Pursue Non-Fishing Activities [ ] Trading Shares [ ] Enter other Fisheries
   [ ] Health Problems [ ] Other (explain): _____

4. Is there a broker being used for this transaction? [ ] Yes [ ] No
   If **yes**, how much is being paid in brokerage fees? $ _____ or _____ % of total price.

BLOCK I - TO BE COMPLETED BY THE TRANSFEREE

1. Will the QS/IFQ being purchased have a lien attached? [ ] Yes [ ] No
   If yes, name of lien holder: _____

2. What is the primary source of financing for this transfer (*check one*)? [ ] Received as a Gift
   [ ] Personal Resources (cash) [ ] AK Com. Fish & Ag. Bank [ ] NMFS Loan Program
   [ ] Private Bank/Credit Union [ ] Transferor/Seller [ ] Processor/Fishing Company
   [ ] Alaska Dept. Of Commerce [ ] Other (explain): _____

3. How was the QS/IFQ located (*check all that apply*)?
   [ ] Relative [ ] Advertisement / Public Notice [ ] Broker
   [ ] Personal Friend [ ] Other (explain): _____

4. What is the Buyer's relationship to the QS/IFQ Holder (*check all that apply*)?
   [ ] Unrelated [ ] Family Member [ ] Business Partner [ ] Friend
   [ ] Other (explain): _____

5. Is there an agreement to return the QS or IFQ to the Transferor (seller), or any other person, or a condition placed on resale?
   [ ] Yes [ ] No

   If **yes**, please explain: _____
   _____

Figure 6
QS Transfer Application Form 2009